

REDDING RANCHERIA TRIBAL GOVERNMENT POLICIES

Chapter TP 2-306

Gaming Commission – Processing Incident Reports



February 6, 2024

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GAMING COMMISSION – PROCESSING INCIDENT REPORTS

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SECTION 1: PURPOSE

Redding Rancheria Policy, TP 1-100, titled “Code of Ethics” states that Tribal Council members, the Win-River Resort & Casino (WRRRC) General Manager, WRRRC Employees, President and Employees of the Redding Rancheria Economic Development Corporation (RREDCO), and Tribal employees and Officials are prohibited from engaging in acts of actual or apparent impropriety. The purpose of this policy, TP 2-306 is to provide guidance to the Redding Rancheria Gaming Agency (hereafter “Agency” or “Gaming Agency”) and its Executive Director and Surveillance Department, on how reports of alleged impropriety, that occur at the tribal-owned gaming facility, and associated property, including the hotel and parking lots, shall be processed up the chain-of-command.

SECTION 2: BACKGROUND AND INTENT

- (a) **BACKGROUND:** The Redding Rancheria enacted the Redding Rancheria Gaming Ordinance to regulate gaming activity by establishing the Redding Rancheria Gaming Commission (Commission) and Gaming Agency. The Gaming Commission oversees the Gaming Agency and, together, the Commission and Agency provide regulatory oversight of the gaming facility. The Gaming Agency includes a Surveillance Department that monitors activities in and around the gaming facility. The Executive Director of the Gaming Agency is provided incident reports from the WRRRC, by and through Win-River Security, whenever events occur that require that such reports be generated. The Redding Rancheria desires to protect the reputation of the gaming facility and the Tribe generally. Tribal Council members, WRRRC General Manager, WRRRC Employees, President and Employees of RREDCO, Tribal Employees, and Officials are prohibited from engaging in acts of actual or apparent impropriety which include any act which may harm the reputation of the Redding Rancheria. As a result of its oversight duties, ability to view and record all WRRRC activities, and access to incident reports, the Gaming Agency is uniquely situated to accumulate reports and deliver them to the appropriate person or persons.
- (b) **INTENT:** The intent of this policy is to establish a standardized process whereby incident reports, of actual or apparent impropriety, occurring within the WRRRC and/or associated properties and involving Tribal Council members, WRRRC General Manager, WRRRC Employees, President and Employees of RREDCO, Tribal Employees, and Officials are distributed by the Executive Director of the Gaming Agency, up the chain-of-command, to the appropriate administrative authority.

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SECTION 3: DELEGATED AUTHORITY

The Tribal Council reserves to itself, through its regular process of discussion and deliberation, all authority necessary to enforce the provisions of this policy. It shall be the duty of the Executive Director of the Redding Rancheria Gaming Agency to:

- (a) Receive any reports that a person prohibited to game under the terms of this policy has been engaged in gaming activities at a facility operated by the Redding Rancheria; and
- (b) Compile all evidence of a violation of this policy including surveillance video and the security report and present evidence to the individuals as directed below in Section 5 below. The Executive Director shall further ensure that all evidence is preserved and protected until the alleged violation has been fully adjudicated by the proper authority.

SECTION 4: DEFINITIONS

- (a) Tribe: The term “Tribe” as used in this policy shall mean the Redding Rancheria or Redding Rancheria, California.
- (b) Tribal Member: The term “Tribal Member” shall mean an enrolled member of the Redding Rancheria.
- (c) Tribal Council: The term “Tribe Council” as used in this policy shall mean the duly elected governing body on the Redding Rancheria.
- (d) Tribal Council Member: The term “Tribal Council Member” as used in this policy shall mean a person duly elected and sworn into office as a council person or alternate.
- (e) Tribal Committee Member: The term “Tribal Committee Member” as used in this policy shall mean a person who is not a Tribal Employee or Tribal Official and not employed by the WRRC or the Redding Rancheria Economic Development Corporation, who is duly elected or appointed to serve on a board of committee of the Redding Rancheria.
- (f) Tribal Employee: The term “Tribal Employee” as used in this policy shall mean an employee of a program or department of the Redding Rancheria Tribal Government and includes Tribal Administration, Tribal Health System, Head Start and Child Care, and any persons hired under the direct supervision of Tribal Council.

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- (g) RREDCO Employee: The term “RREDCO Employee” as used in this policy is an employee of any of the economic development enterprises organized under the Section 17 Corporate Charter of the Redding Rancheria or the Redding Rancheria Limited Liability Company Ordinance.
- (h) Win-River Resort & Casino Employee: The term “Win-River Resort & Casino” as used in this policy shall mean an employee of the Win-River Resort and Casino.
- (i) Tribal CEO: The term “Tribal CEO” is the Chief Executive Officer of the Redding Rancheria as created by TP 1-300, entitled “Executive Management”.
- (j) Officials: The term “Officials” as used in this policy shall have the definition as set forth in Section 3 of TP 1-100 entitled, “Tribal Code of Ethics”.
- (k) Prohibited Gaming Activity: The term “Prohibited Gaming Activity” as used in this policy shall mean the act of being engaged in any game of chance wherein a wager is placed on the outcome where the person placing the wager is prohibited by law or policy from gaming within the Redding Rancheria’s gaming facility. Private events hosted by the Tribe’s gaming facility or the Tribe, exclusively for participation by Tribal Members, that include gaming activities are not prohibited gaming activities under this policy.
- (l) Gaming Agency or Gaming Commission Office: The term “Gaming Agency” or “Gaming Commission Office” as used interchangeably in this policy, the Compact, and Redding Rancheria Gaming Ordinance, shall refer to the Redding Rancheria Gaming Agency as established by Chapter 6 of the Redding Rancheria Gaming Ordinance.
- (m) Gaming Commission: The term “Gaming Commission” as used in this policy is the board whose members are appointed by the Redding Rancheria Tribal Council to administer and oversee the activities of the Gaming Agency.
- (n) Executive Director: The term “Executive Director” as used in this policy shall mean the person currently serving in the position of Executive Director of the Redding Rancheria Gaming Agency.
- (o) Gaming Commissioner: The term “Gaming Commissioner” as used in this policy shall mean a duly appointed member of the Gaming Commission.

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- (p) Gaming Commission Chairperson: The term “Gaming Commission Chairperson” as used in this policy shall mean the person who has been selected by the Gaming Commissioners to serve as the Chairperson of the Redding Rancheria Gaming Commission pursuant to Chapter 6 Section 6200 or the Redding Rancheria Gaming Ordinance.
- (q) Tribal Council Chairperson: The term “Tribal Council Chairperson” as used in this policy shall mean the person who has been selected by the Tribal Council to serve as the Chairperson of the Redding Rancheria Tribal Council pursuant to Article IV, Section 2, of the Constitution of the Redding Rancheria.
- (r) Impropriety: The term “Impropriety” as used in this policy shall mean any act as defined by Section 7 of TP 1-100 entitled “Tribal Code of Ethics”.
- (s) Incident Report: The term “Incident Report” as used in this policy shall mean a report generated by WRRC Security when an act of criminality or other impropriety occurs within the WRRC and/or associated properties, including supporting evidence such as witness statements and surveillance videos.
- (t) Win-River Resort & Casino: The term “Win-River Resort & Casino” as used in this policy shall mean the tribal casino including all bars, restaurants, and amenities within the casino building and the hotel, parking lots and adjacent lands where the primary purpose of such hotel, parking lots, and adjacent lands is to serve the patrons of the casino.
- (u) RREDCO President: The term “RREDCO President” as used in this policy shall mean the highest ranking employee/administrator of RREDCO.
- (v) WRRC General Manager: The term “WRRC General Manager” as used in this policy shall mean the highest ranking employee/administrator of the WRRC.

SECTION 5: REPORTING ALLEGED IMPROPRIETY

Where any Tribal Council members, WRRC General Manager, WRRC Employees, President and Employees of RREDCO, Tribal Employees, and Officials engage in any act of impropriety, and where an Incident Report was generated by WRRC Security or the Gaming Commission, the Executive Director of the Gaming Agency shall receive such Incident Report and forward same as set forth below:

- (a) Where the subject of the Incident Report is a Tribal Employee, Incident Reports shall be forwarded to, and received by, the Tribal CEO of the Redding Rancheria within five (5) days of the incident.

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- (b) Where the subject of the Incident Report is a RREDCO employee, Incident Reports shall be forwarded to, and received by, the President of RREDCO within five (5) days of the incident.
- (c) Where the subject of the Incident Report is a WRRRC employee, Incident Reports shall be forwarded to, and received by, the General Manager of the WRRRC within five (5) days of the incident.
- (d) Where the subject of the Incident Report is a Gaming Commissioner, Incident Reports shall be forwarded to, and received by, the Tribal Council Chairperson and the Tribal CEO within five (5) days of the incident.
- (e) Where the subject of the Incident Report is a Tribal Council Member, except the Chairperson, Incident Reports shall be forwarded to, and received by, the Tribal Council Chairperson and Tribal CEO within five (5) days of the incident.
- (f) Where the subject of the Incident Report is the Tribal Council Chairperson, Incident Reports shall be forwarded to, and received by, the Tribal Council Vice-Chairperson and Tribal CEO within five (5) days of the incident.
- (g) Where the subject of the Incident Report is the Tribal CEO, General Manager of WRRRC, President of RREDCO, Tribal Council Employee, or a Committee Member, Incident Reports shall be forwarded to the Tribal Council Chairperson and Tribal Council Vice-Chairperson as soon as possible but in no event later than five (5) days after the incident and presented to the full Tribal Council at its next regularly scheduled meeting or a special meeting called for that purpose.

SECTION 6: REVIEW

The CEO shall review this policy each year and shall such make recommendations, if any, for its amendment as appropriate for the effective administration hereof.

Legislative History:

Originally adopted by Tribal Council on March 1, 2016.

Amended by Tribal Council Resolution #007-02-06-24 dated February 6, 2024.